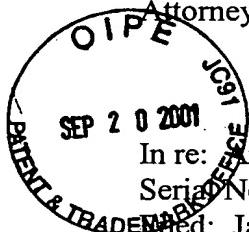


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Attorney's Docket No. 5577-221

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

**RECEIVED**

SEP 21 2001

Technology Center 2100

SEP 20 2001

In re: Antes, et. al.

Serial No.: 09/764,790

Filed: January 17, 2001

Group Art Unit: 2151

For: **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR  
PROVIDING FAILURE RECOVERY OF NETWORK SECURE  
COMMUNICATIONS IN A CLUSTER COMPUTING ENVIRONMENT**

Date: September 18, 2001

Commissioner for Patents  
Washington, DC 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP.

No item of information contained in this Statement was cited from a foreign patent office in a counterpart foreign application.

Respectfully submitted,

Timothy J. O'Sullivan  
Registration No. 35,632

Customer Number:



20792

PATENT TRADEMARK OFFICE

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on September 18, 2001.

Traci A. Brown

Date of Signature: September 18, 2001

<b>FORM PTO-1449 U.S. Department of Commerce</b> Patent and Trademark Office <b>LIST OF DOCUMENTS CITED BY APPLICANT</b> (Use several sheets if necessary)				Attorney Docket Number 5577-221			Serial No. 09/764,790
				Applicants: Antes, et al.			
				Filing Date: January 17, 2001		Group 2151	
U. S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
						Technology Center 2100	RECEIVED SEP 21 2001
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
<i>ES</i>	1	Martin W. Murhammer, et al. <i>A Comprehensive Guide to Virtual Private Networks, Volume III: Cross-Platform Key and Policy Management.</i> 1999, Chapters 1-3, pp. 1-71.					

EXAMINER \_\_\_\_\_

EXAMINER \_\_\_\_\_

**DATE CONSIDERED**

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.